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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Application Number

Application Number

O9/844,717 **Filing Date** 04/27/2001 **First Named Inventor** Shah Group Art Unit 2131 **Examiner Name Not Yet Assigned** 68110328.714 Attorney Docket Number

FL698812625US

08/24/2001

Date

08/24/2001

ENCLOSURES (check all that apply)				
X Fee Transmittal Form X Fee Attached X Amendment / Reply Preliminary After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request X Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application	ENCLOSURES (check X Assignment Papers (for an Application) X Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Bnef, Reply Bnef) Proprietary Information Status Letter X Other Enclosure(s) (please identify below): Declaration for Utility/Design Patent Application Request to Correct Filing Receipt Check in the amount of \$880 for Missing Parts fees and assignment fee		
Response to Missing Parts under 37 CFR 1.52 or 1.53	Copies of Patent Referenc Postcard Acknowledgeme			
SIGNATI	JRE OF APPLICANT, ATTORNEY, OR	AGENT		
Firm Brian C. McC BAKER & Mc	Cormack			
Date 08/24/2001				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING

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Christi Pingleton

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

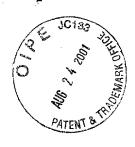
(\$) 880.00

Complete if Known			
Application Number	09/844,717		
Filing Date	04/27/2001		
First Named Inventor	Shah		
Examiner Name	Not Yet Assigned		
Group Art Unit	2131		
Attorney Docket No.	68110328.714		

ı	METHOD OF PAYMENT	FEE CALCULATION (continued)			
Γ	The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES			
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İ	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
ł	Applicant claims small entity status.	139 130 139 130 Non-English specification			
L	See 37 CFR 1 27	147 2,520 147 2,520 For filing a request for ex parte reexamination			
in the	2. X Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
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Steery	FEE CALCULATION	Examiner action			
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12	Large Entity Small Entity	116 390 216 195 Extension for reply within second month			
	Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month			
12	101 710 201 355 Utility filing fee 710.00	118 1,390 218 695 Extension for reply within fourth month			
et la	106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month			
*	107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal			
1	108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal			
.5	114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing			
100	SUBTOTAL (1) (\$) 710.00	138 1,510 138 1,510 Petition to institute a public use proceeding			
Ļ		140 110 240 55 Petition to revive - unavoidable			
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ls	Total Claims 14 -20** = 0 x 18.00 = 0.00	143 440 243 220 Design issue fee			
ı	Independent 2 - 3** = 0 X 80.00 = 0.00	144 600 244 300 Plant issue fee			
	Multiple Dependent =	122 130 122 130 Petitions to the Commissioner			
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1	Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt			
١	Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)			
ı	102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))			
	104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be			
۱	109 80 209 40 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))			
	110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)			
	and over original patent	169 900 169 900 Request for expedited examination of a design application			
	SUBTOTAL (2) (\$) 0.00	Other fee (specify)			
	**or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.00			
L	branch branch branch a discourse, or response, 200 months				

SUBMITTED BY			Complete (r	Complete (if applicable)	
Name (PrintlType)	Brian C. McCormack	Registration No. (Attorney/Agent)	36,601	Telephone	214/978-3000
Signature	Brian C. Malormack			Date	8/24/2001

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For:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art No.: Applicant(s): Shah et al

Not Yet Assigned 888888 **Examiner:** Serial No.: 09/844,717

Atty. Docket No.: 68110328.714 Filed: April 27, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

EXPRESS MAIL MAILING LABEL NO.: EL698812625US

2131

DATE OF DEPOSIT: I, Christi Pingleton, hereby certify that this correspondence is being deposited on the date shown above with sufficient postage with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patents and

Trademarks, Washington, D.C. 20231.

Signature

Date

Commissioner of Patents Washington, D.C. 20231

Database Security

Attn: Initial Patent Examination Division

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed June 25, 2001, enclosed are the following:

- A copy of the "Notice to File Missing Parts of Nonprovisional Application"; 1.
- A Declaration for Utility or Design Patent Application (37 CFR 1.63); 2.
- Formal drawings Figures 1-9; 3.
- Preliminary Amendment; 4.



- 5. Partirimation Disclosure Statement, PTO/08/A and patent copies;
- 6. Assignment and Assignment Recordation Cover sheet;
- 7. Request for Corrected Filing Receipt;
- 8. A check in the amount of \$880 to accommodate the filing fee for a large entity of \$710, the late filing fee for oath or declaration of \$130 and recordation fee for the assignment;
- 9. Fee Transmittal Form; and
- 10. Transmittal Cover Sheet.

Respectfully submitted,

Brian C. McCormack Reg. No. 36,601

ATTORNEY FOR APPLICANTS

Date: August 24, 2001
BAKER & MCKENZIE
2001 Ross Avenue, Suite 2300
Dallas, TX 75201
214/978-3043
214/978-3099 fax



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/844.717

04/27/2001

Arun Shah

68110328.714

CONFIRMATION NO. 9551

Date Mailed: 06/25/2001

FORMALITIES LETTER

OC000000006218653*

Brian C. McCormack Baker & McKenzie 2001 Ross Avenue, Suite 2300 Dallas, TX 75201

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

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A copy of this notice \underline{MUST} be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE